

# Financial Examiner

**Aliases:** Financial Controller

**Industry/Sector(s):** BFSI

## JOB DESCRIPTION <sup>1</sup>

The tasks a Financial Examiner is expected to perform include:

- Enforcing or ensuring compliance with laws and regulations governing financial and securities institutions
- Directing and participating in formal and informal meetings
- Investigating the activities of institutions in order to enforce laws and regulations

## WORK ENVIRONMENT <sup>1, 4</sup>

- It is a desk job
- Need not handle a team
- Local travelling is not part of this job role
- Part-time work and contractual jobs are available
- Work from home option is not available

### Working hours

- Organizations usually work for 5/6 days a week and 8/9 hours everyday. This may vary from organization to organization
- Shift system is not available
- Overtime may be required

### Is the job suitable for a candidate with special needs?

*This may vary from organization to organization*

## EDUCATIONAL QUALIFICATIONS AND TRAINING <sup>2</sup>

Preferably, CA

## KEY COMPETENCIES <sup>1</sup>

- Proficiency in preparing reports
- Adept at recommending actions to ensure compliance with laws and regulations
- Well-versed with resolving problems concerning the overall financial integrity of banking institutions
- Knowledge of reviewing audit reports of internal and external auditors
- Proficiency in reviewing balance sheets, operating income and expense accounts
- Adept at verifying and inspecting cash reserves, assigned collateral and bank-owned securities in order to check internal control procedures
- Well-versed with conferring with officials of real estate, securities or financial institution industries
- Knowledge of establishing guidelines for procedures and policies

## DESIRABLE COMPETENCIES <sup>1</sup>

- Strong interpersonal skills
- Ability to multitask
- Logical thinking

## AVAILABLE SKILL TRAINING AND LEARNING INSTITUTES

Institute of Chartered Accountants of India  
<http://www.icai.org/>

## AVAILABLE SKILL TRAINING SCHEMES/SCHOLARSHIPS

For scholarship and schemes, use further links like:  
 •<https://scholarships.gov.in/>

## SAMPLE OF TRAINING AND LEARNING COURSES

<http://www.ifs.edu.in/forensic-accounting-and-fraud-examiner/>

## CAREER PROGRESSION PATH <sup>1</sup>

Project Head



Financial Manager → Movement to other occupation\*



### Financial Examiner

\* Other industry verticals like Insurance and Telecommunication companies are turning into key verticals for the Banking and Financial services sub-sector.

*The progression is indicative*

## EXPECTED EARNINGS <sup>3</sup>

•For candidates with experience - Rs 50,000 to Rs 80,000 per month

*These figures are indicative and subject to change*

## REQUIRED WORK EXPERIENCE <sup>2</sup>

Generally, 5 - 8 years of experience required

## PROBABLE EMPLOYERS <sup>2</sup>

- Banks
- Financial units

## KEYWORDS

- Financial Controller
- Financial Examiner

## OCCUPATIONAL CODES AND STANDARDS

Standard	Code	Description
NCO 2015	2412.0100	Financial Examiner
ISCO 2008	2412	Financial and Investment Advisors
NIC 2008	69201	Accounting, bookkeeping and auditing activities
QP Reference	Not available	Not available
NSQF	Not available	Not available

## REFERENCES

1	National Classification of Occupations Division 2
2	<a href="http://www.naukri.com/job-listings-Finance-Controller-andheri-East-Career-Catalysts-HRC-Pvt-Ltd--Mumbai-5-to-8-years-070716005192?src=seo_srp&amp;sid=14679089346311&amp;xp=1&amp;qp=finance%20controller&amp;srcPage=s">http://www.naukri.com/job-listings-Finance-Controller-andheri-East-Career-Catalysts-HRC-Pvt-Ltd--Mumbai-5-to-8-years-070716005192?src=seo_srp&amp;sid=14679089346311&amp;xp=1&amp;qp=finance%20controller&amp;srcPage=s</a>
3	<a href="http://www.payscale.com/research/IN/Job=Financial_Controller/Salary">http://www.payscale.com/research/IN/Job=Financial_Controller/Salary</a>
4	<a href="http://www.ccdisabilities.nic.in/page.php?s=reg&amp;t=def&amp;p=list_jobsNew">http://www.ccdisabilities.nic.in/page.php?s=reg&amp;t=def&amp;p=list_jobsNew</a>